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PATENT

3731

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Erik J. van der Burg et al.

Group Art Unit: 3731

Serial No. : 09/435,562

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents Washington, D.C. 20231, on

Filed : November 8, 1999

For : METHOD AND DEVICE FOR LEFT ATRIAL APPENDAGE OCCLUSION

October 17, 2001

(Date)

Examiner : Unknown

Gerard von Hoffmann, Reg. No. 33,043

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

Enclosed is form PTO-1449 listing references that are also enclosed. This Information Disclosure Statement is being filed before the mailing date of a final action under 37 C.F.R. § 1.113 and before the mailing date of a Notice of Allowance under § 1.311. A certification under 37 C.F.R. § 1.97(e) is set forth below. Thus, no fee is required as set forth in 37 C.F.R. § 1.97(c).

Identification herein is not an admission that any of the foregoing are prior art to the above captioned application.

CERTIFICATION UNDER 37 C.F.R. § 1.97(e)(2)

I hereby certify that no item of information contained in this Statement was cited in a communication from a foreign Patent Office in a counterpart foreign application, or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months days/months prior to the filing of this Information Disclosure Statement.

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR

Dated: 10/17/01By: Gerard von Hoffmann

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